

Statistics on Debt Financing Instruments in December 2020

Holding Structure of Debt Financing Instruments

(100 millions RMB)

	Super Short-term Commercial Paper	Private Placement Note	Commercial Paper	Asset-backed Securities	Medium-term Note	Project Revenue note	Green Note	Total Amount	Percentage
Policy Banks	277	989	174	0	1495	0	35	2970	2.4%
Depository Financial Institutions	5752	4491	811	2530	12885	17	240	26726	21.6%
Non-bank Financial Institutions	334	704	229	237	6459	7	72	8042	6.5%
Non-financial Institutions	5	3	8	177	37	0	1	230	0.2%
Unincorporated product	9826	14828	3728	3940	49931	55	604	82913	66.9%
Nominee Account	259	408	83	94	1015	0	7	1865	1.5%
Overseas Institutions	33	5	7	34	1009	0	37	1125	0.9%
Others	0	0	0	0	0	0	0	0	0.0%
Total	16485	21429	5039	7012	72832	79	994	123869	100%

Source: Shanghai Clearing House. Green Notes are registered with NAFMII and labeled with the letters “GN” in issuance.

Note: 1. Depository financial institutions include state-owned commercial banks, joint-stock commercial banks, urban commercial banks, rural commercial banks and cooperative banks, banks of villages and small towns, credit cooperatives, foreign banks and others.

2. Non-bank financial institutions include insurance companies, securities companies, trust companies, finance companies and others.

3. Unincorporated products include securities company asset management plans, bank wealth management products, securities investment funds, fund management companies and their subsidiaries' specific customer asset management plans, trust plans, corporate annuities, insurance asset management plans, insurance products, social security funds, and insurance protection funds, asset management products of futures companies, private equity investment funds, pension funds, and other unincorporated products.